

# **The EUA and Open Access**

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***Work developed by EUA in collaboration with the members of the  
EUA Expert Group on Science2.0/Open Science  
chaired by  
Prof. Jean-Pierre Finance***

***PASTEUR4OA***

***NW Region: Meeting of Research-Performing Organisations policy  
managers***

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# Outline

- **2015 EUA Survey on institutional policies on Open Access: preliminary outcomes**
- **Open Science: An active role for universities**

# Questionnaire on Open Access to research publications

## Key Characteristics

**Focused on the degree of implementation of institutional Open Access policies for research publications and research data**

*Deadline for responses: 8 January 2016*

### **The respondents**

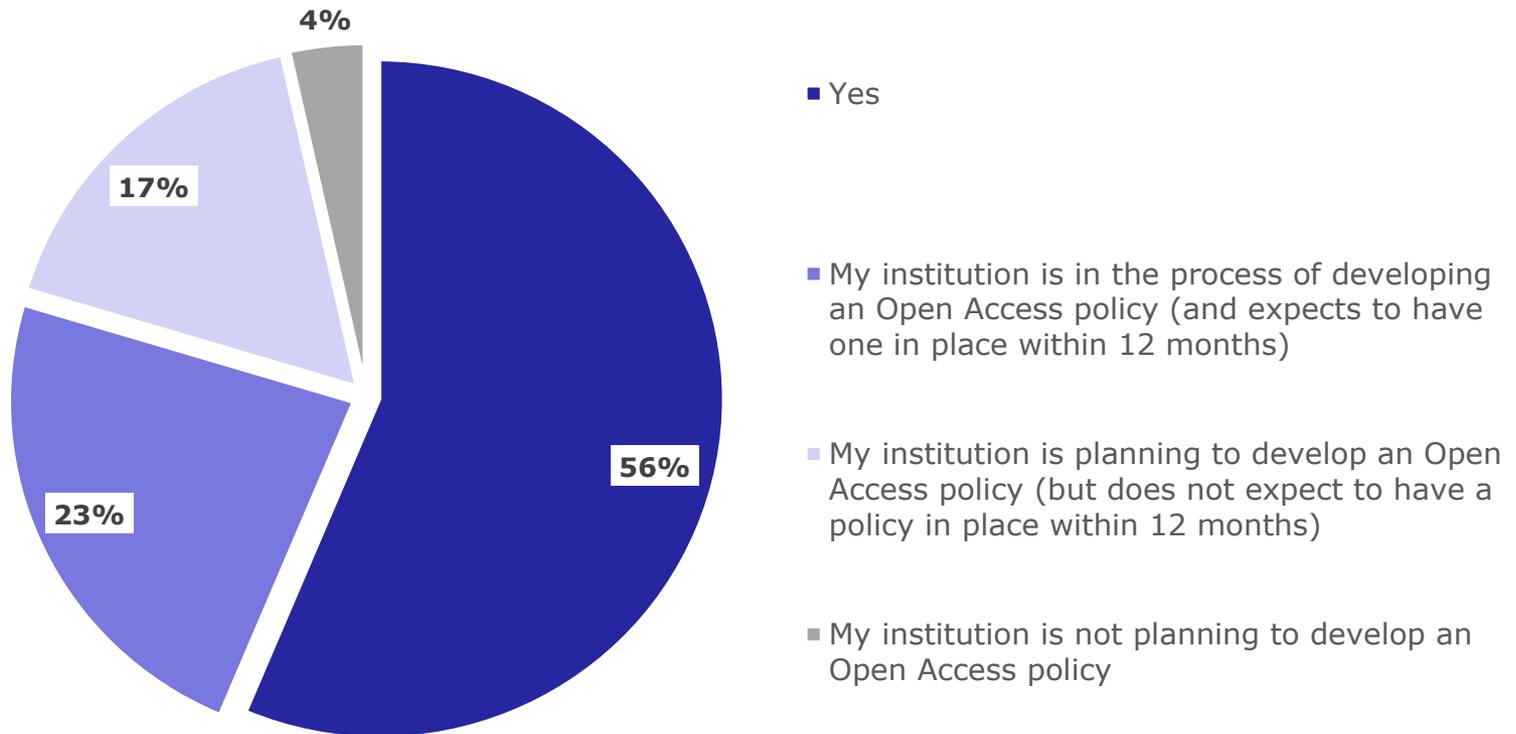
- **173 universities** from **32 European countries** (2014: 106 institutions; 63% increase in responses compared to 2014)

### **Response rate**

- 22% of EUA universities (2014: 13.5%)

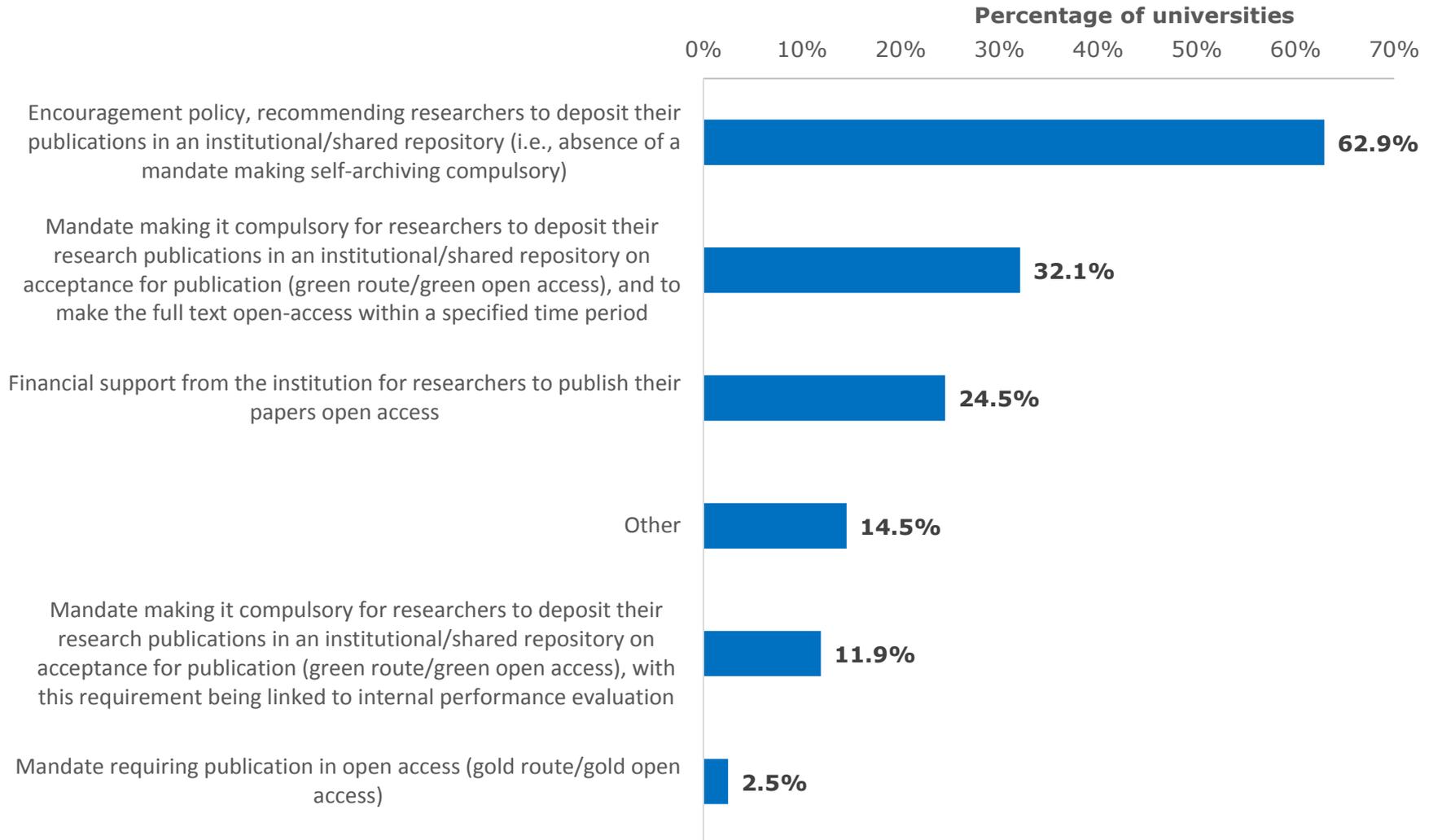
# Institutional policies on Open Access

## Share of institutions which have a policy of Open Access (OA) to research publications



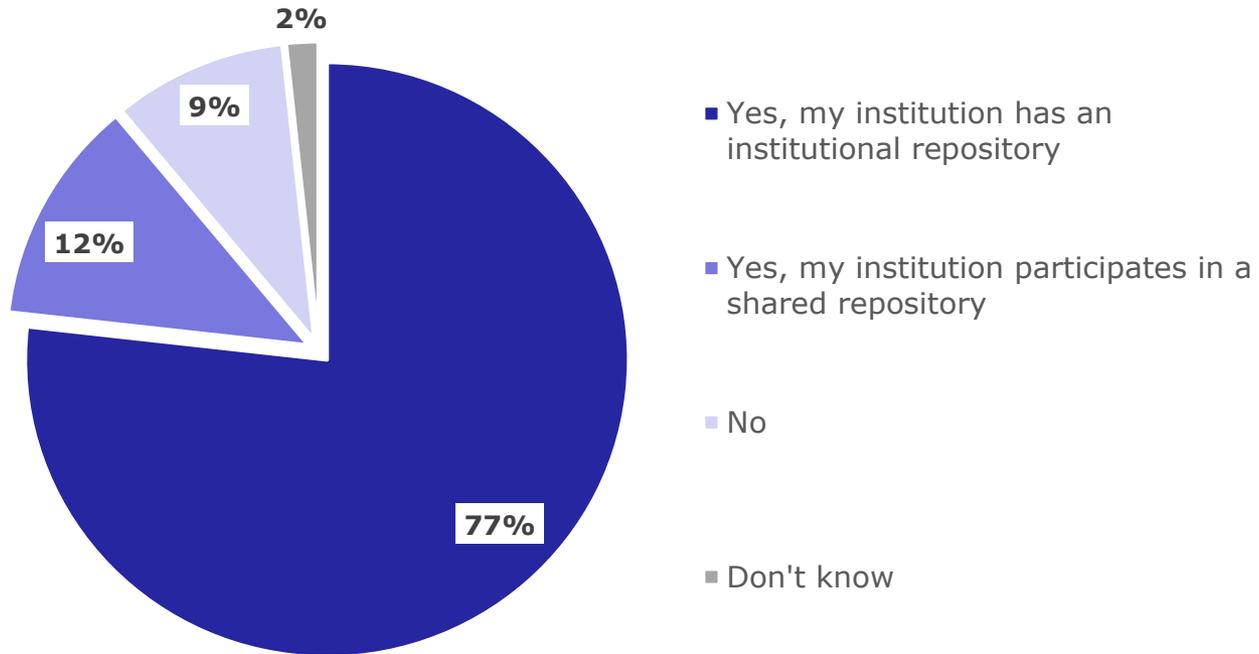
Number of respondents: 172/173

## Key elements of institutional OA policies



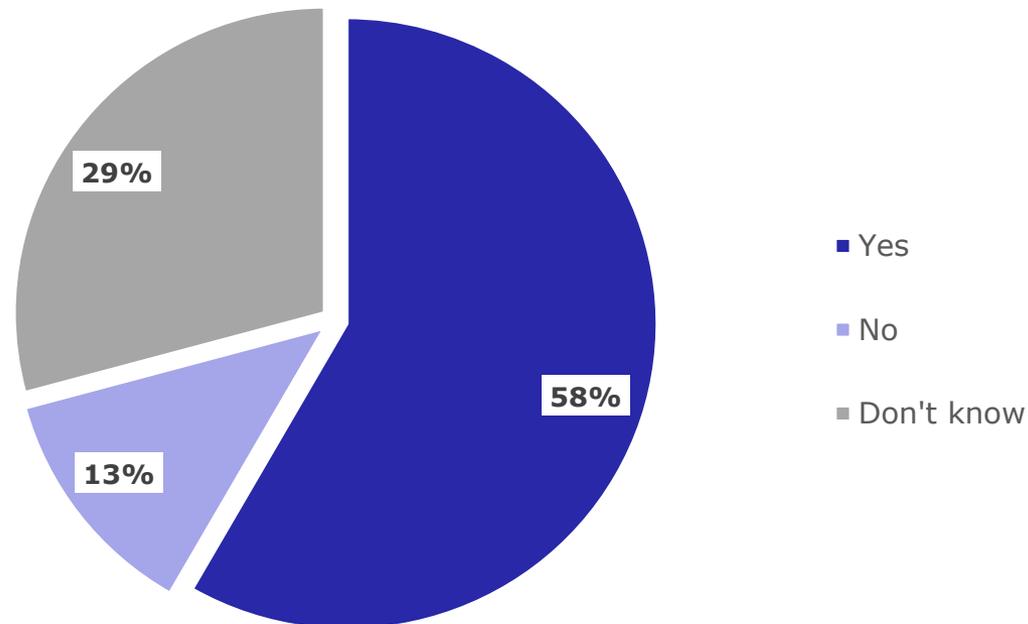
Number of respondents: 159/166. Question only applicable to institutions who replied “Yes”, “In the process of developing and OA policy” or “Planning to develop an OA policy” to Q1.

## Institutional repositories



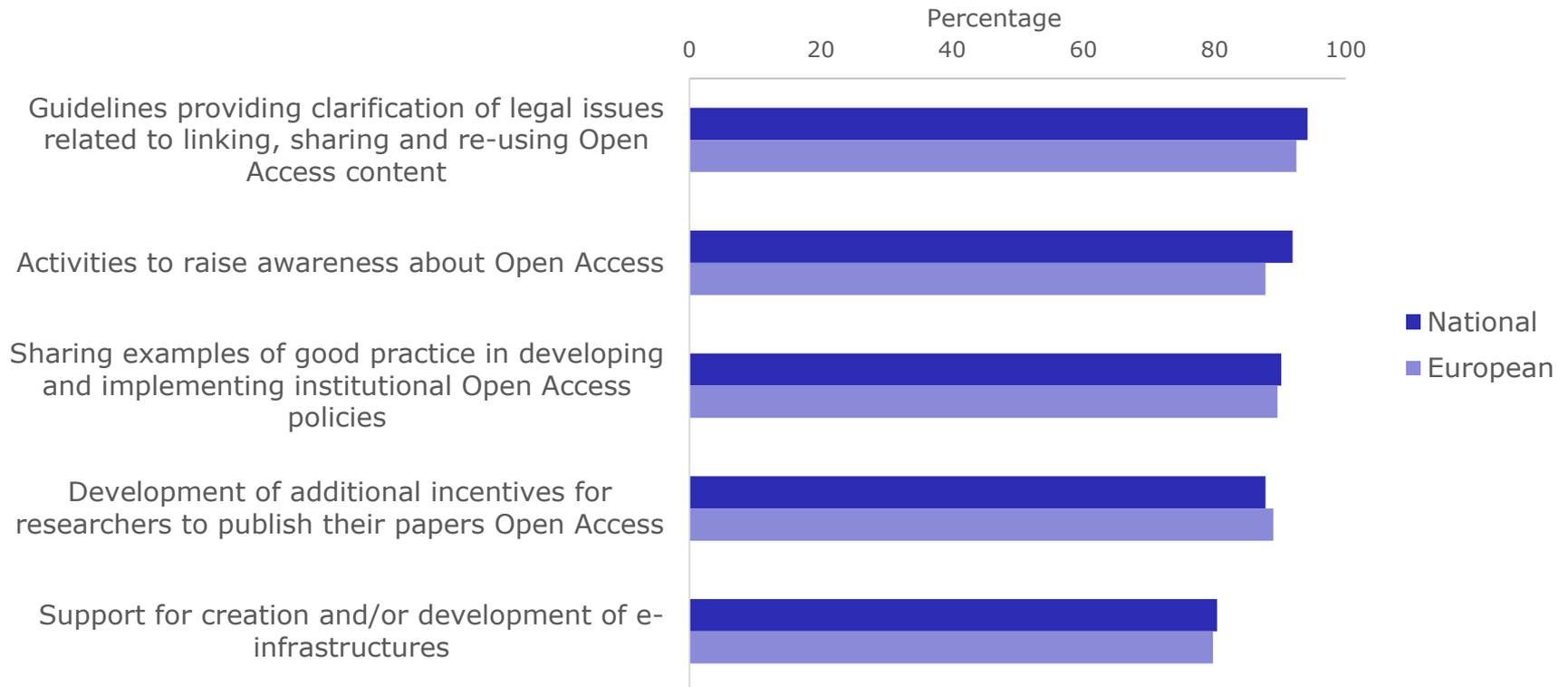
Number of respondents: 172/173

## Increase in deposit rate in the repository since OA policy adoption



Number of respondents: 96/97. Question only applicable to institutions who replied "Yes" to Q1.

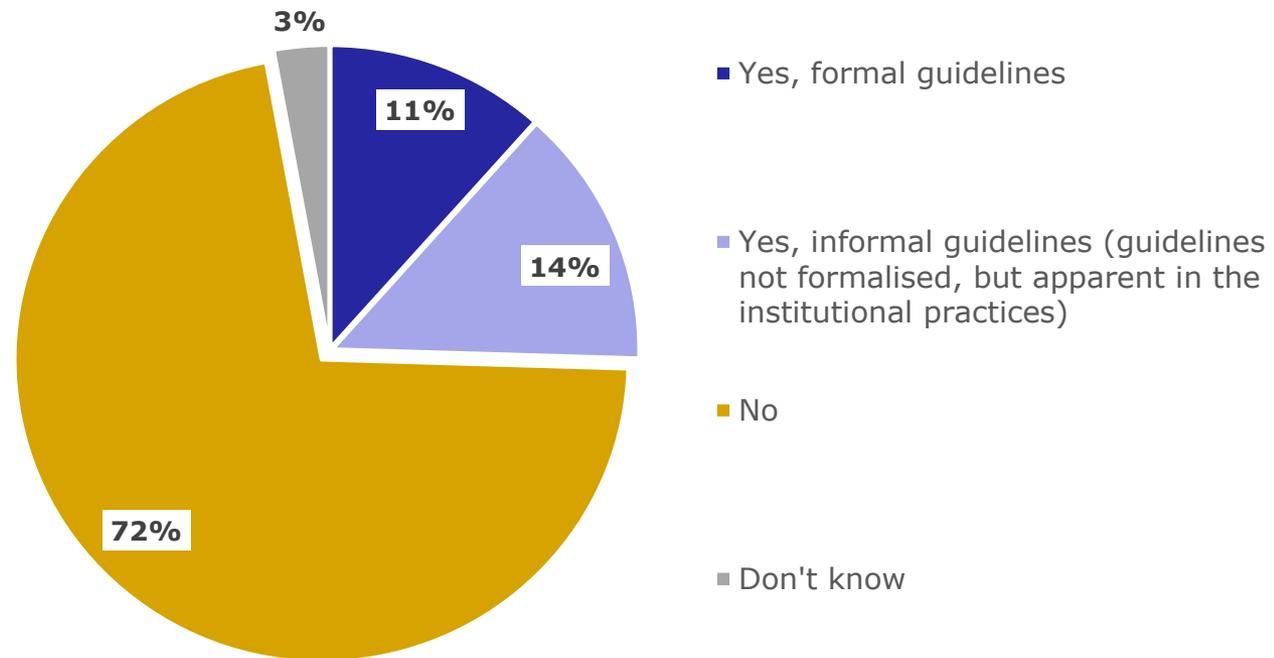
## Actions needed in the area of OA



Note: Percentage of agreement (“strongly agree” and “agree”)

Number of respondents: 173/173

## Existence of institutional guidelines on OA to research data



Number of respondents: 172/173

# Open Science

## An active role for universities

- Open Science is gaining momentum at political and institutional level
  - Statement of Commissioner Moedas and Dutch Secretary of State Dekker (October 2015)
  - Informal Competitiveness Council (January 2016)
- The university sector needs to have an active role in shaping the evolution of Science 2.0/Open Science
- EUA, as a major university stakeholder, can have a key role at multiple levels:
  - **Policy-level**: from national to European-level
  - **Economic and financial level**: avoiding the loss of 'scientific sovereignty'
  - **University-level**: supporting universities' digital policies

# EUA' Vision to support universities in their transition towards Open Science (I)

- Open Science is generating new and alternative ways for scientists to conduct, publish and disseminate their research. It is also impacting on researcher career progression, publication quality assessment and the operation of scientific reputation systems.
- Open Science looks set to change the whole research landscape and its implications are becoming tangible for researchers, university leaders and administration, research funders, learned societies, scientific publishers and policy makers at national, European and global levels.
- The Expert Group will continue its work in close collaboration with EUA's NRCs and European universities. The group will focus on a broad range of issues related to Open Science, such as, Open Access to research publications and data, research infrastructures, researcher assessment and career development, quality of publications, text and data mining (TDM), copyright, data protection and peer-review.

## **EUA' Vision to support universities in their transition towards Open Science (II)**

- The activities of the EUA Expert Group on Science 2.0/Open Science will focus on issuing recommendations and guidelines, supporting institutions in negotiating with scientific publishers, raising awareness of the importance of Open Science for researchers and university leaders and making efforts to accelerate the transition towards a more open scientific system.
- In doing so, the effects of this transition will be closely followed up, to ensure that its benefits extend to all institutions and researchers across Europe.

**First initiative:  
The EUA Roadmap on Open Access to Research Publications**

# EUA Roadmap on Open Access to Research Publications

## Objectives - part 1

- Fostering structured dialogue among all stakeholders – especially scientists, universities, research funding and performing organisations, libraries, politicians and publishers;
- Promoting and supporting the adoption of OA policies, infrastructures and initiatives (repositories, institutional publishing initiatives – both for journals and monographs) by European universities;
- Encouraging the development and establishment of advanced scientific recognition and research assessment systems – including scientometrics and altmetrics, quality evaluation in OA, career progression of scientists and rigorous quality assurance of conventional and novel research outputs;

# EUA Roadmap on Open Access to Research Publications

## Objectives - part 2

- Addressing intellectual property rights and copyright policies for various outputs, including publications, research data, learning materials and patents;
- Considering alternative and sustainable OA business models;
- Promoting access, use and share of research publications and data, including text and data mining (TDM), tailored to different stakeholders, including researchers at different stages in their career;
- Encouraging, supporting and eventually monitoring the establishment of comprehensive standards for institutional OA policies concerning research publications and teaching materials.

# EUA Roadmap on Open Access to Research Publications

## Priority actions - Part 1

### Information gathering and sharing

- Gathering information and mapping the European Open Access landscape, by continuing to monitor institutional OA policies and establishing an evidence base about current agreements and ongoing negotiations with publishers in collaboration with NRCs;
- Establishing a platform for dialogue and sharing good practices of 'big deal' negotiations, including a high-level group of university leaders;

### Policy and good practice recommendations

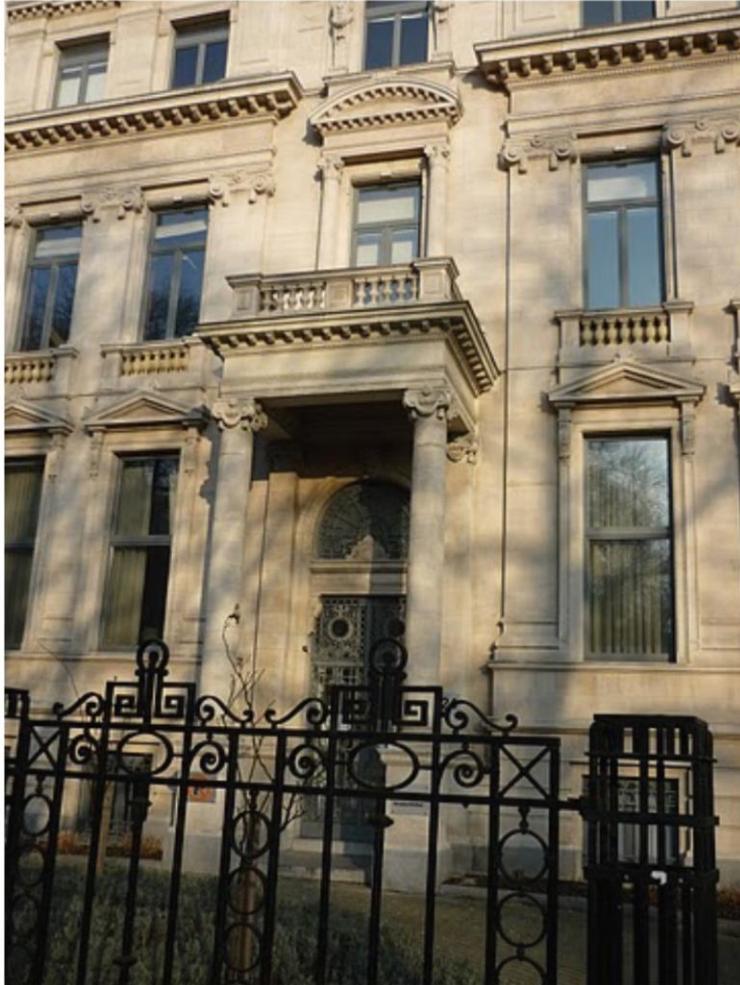
- Issuing principles and recommendations for negotiations with publishers based on the outcomes of the platform and the evidence gathered through EUA activity with its members (surveys, workshops, etc.);
- Proposing recommendations for institutional OA policies based on EUA's annual survey and the outcomes of the platform, on current best practices, model policies and guidelines (e.g. PASTEUR4OA project);
- Supporting the development of European OA policies, infrastructures and initiatives, e.g. Horizon 2020, OpenAIRE;

# EUA Roadmap on Open Access to Research Publications

## Priority actions - Part 2

### Dialogue and mobilisation of researchers and other stakeholders

- Engaging researchers at all career levels in the development of novel academic recognition systems through workshops and other fora;
- Engaging in dialogue with other relevant stakeholders in the field, including other university networks, such as LERU and CESAER, as well as organisations such as COAR, LIBER, MPDL, Science Europe and the Global Research Council;
- Discussing with publishers economically realistic and viable conceptions of the OA future;
- Mobilising politicians for a fair, balanced and innovative publishing system through position papers and seminars at national and European level.



***Thank you for your  
attention !***