

Open Access Policy Alignment Strategies
for European Union Research

PASTEUR40A



Open Access Policy Development and Alignment

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Factsheet



- **PASTEUR4OA:** Open Access Policy Alignment Strategies for European Union Research
- **Grant Agreement:** 611742
- **Theme:** Science in Society
- **Type :** CSA
- **Duration:** February 2014 – July 2016 (30 months)
- **Partners:** 15 partners from 10 countries
- **Website:** <http://www.pasteur4oa.eu/>

1. National Documentation Centre (EKT)-GR
2. Enabling Open Scholarship (EOS)-NL
3. Universidade do Minho (Uminho)-PT
4. University of Oslo (CRISTin) –NO
5. EIFLNet-NL
6. EuroCris-NL
7. Hungarian Academy of Sciences-HU
8. Politecnico di Torino (POLITO)-IT
9. LIBER-NL
10. Sparc Europe- NL
11. Jisc-UK
12. Hacettepe University-TR
13. Open Knowledge Foundation (OKF)-UK
14. Fonds National de la Recherche Scientifique (FNRS)-BE
15. Research Council of Lithuania-LT

The aim

To stimulate and support open access policies across Europe, which are aligned to H2020

The means

- 1. Engage policymakers** (directly and indirectly through Network)

- » Direct or indirect policymaker engagement in many European countries (of different kinds, levels and intensity)
 - › Direct project assistance and expertise with policy development for numerous countries and organizations
 - › Developing and/or maintaining national open access working groups
 - › Informing NPRs of developments
 - › Organize ten workshops across the EU (funders/RPOs)
 - SW Europe Funder workshop 28/09/2015

The means

- 1. Engage policymakers** (directly and indirectly through Network)
- 2. Develop evidence-based policy advocacy materials** and good practice case studies

Targeted advocacy resources

- » Policy guidelines for RFOs and RPOs
- » Institutional case studies
- » National case studies
- » Funder case studies
- » Briefing papers (monitoring, impact assessment, practical info on policy development, APCs, among others)
- » Evidence-based studies on designing effective policies
- » <http://pasteur4oa.eu/resources>
- » Also in <https://zenodo.org/collection/user-pasteur4oa>
- » And..... pasteur4oa policy newsletter at the website



Mostly institutions (over 55

<http://pasteur4oa-dataviz.okfn.org/worldwide.html>

Mandatory or voluntary policies ?



● 425/725

Percentage of total policies that are mandated

Increasing number of RPOs and RFOs **mandate** open access policies

Policy effectiveness

- » ROARMAP rebuild
 - » 122 mandatory policies (institutions)
 - » Repository content measured
 - » Regression analysis carried out using policy criteria
-

Correlation with deposit action

Policy criterion	Positive correlation	Significant correlation
Must deposit	†	†
Cannot waive deposit	†	†
Link deposit to research evaluation	†	†
Must make deposit Open Access	†	
Cannot waive making item Open Access	†	
Where policy stipulates authors should retain relevant rights, this cannot be waived	†	

Policies with the significant criteria

Must deposit
Cannot waive deposit
Research evaluation

- 18 policies
- 5 funders
- 13 institutions

Research institutions

- Ghent University (Belgium)
- INRIA (France)
- Ifremer (France)
- Laboratoire de psychologie et neurosciences cognitives (France)
- Pwani University (Kenya)
- Saint-Loius University Brussels (Belgium)
- Universidade do Minho (Portugal)
- Università degli studi di Trieste (Italy)
- University of Liege (Belgium)
- University of Luxembourg (Luxembourg)
- University of Mons (Belgium)
- University of Reading (UK)
- University of Strathclyde (UK)

- » Monitoring compliance
- » Alignment checklist
- » Practical Information for Research Performing Organizations on Policy Development, Implementation, Effectiveness and Alignment
- » Open Access Policy Typology: A briefing paper for research institutions
- » Open Access policy effectiveness: A briefing paper for research institutions
- » Open Access policy guidelines for research institutions

The means

1. **Engage policymakers** (directly and indirectly through Network)
2. **Develop evidence-based policy advocacy materials** and good practice case studies
3. **The Knowledge Net-** A coordinated network of expert organizations across Europe to work together- to promote, coordinate and contribute to aligning policies

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Knowledge Net

Regional Groups

Regional Coordinators

Regional Groups	Nordic group	North West Europe group	South West Europe group	East Europe group	South East Europe group
Regional Coordinators	CRIStin	EOS	UMINHO	EIFL	EKT
	Roskilde University Library	FWF/OANA FRS-FNRS	Politecnico di Torino	Ruđer Bošković Institute	Bulgarian Academy of Sciences
	Lappeenranta University of Technology	Couperin	University of Malta	VŠB - Technical University of Ostrava	University of Cyprus
	National University Hospital of Iceland	Helmholtz Association	UMINHO	Estonian Research Council	EKT/NHRF
	UiOs	Health Research Board	Fecyt	MTA Konyvtar	University of Belgrade
	National Library of Sweden	University of Luxembourg		University of Latvia LMT	Hacettepe University
		TU Delft		Centrum Cyfrowe	Metamorphosis Foundation
		Jisc		Association AnelisPlus	
				SCCT	
				University of Ljubljana	

5 Regional groups

5 PASTEUR RCs

1 Key Node per M

<http://pasteur4oa.eu/key-nodes>

Thank you!

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