

Open Access Policy Alignment Strategies
for European Union Research

PASTEUR40A



The North West Europe Meeting of Research Performing Organisations: Highlights and Ways Forward

The North West Europe Workshop: Aims



- » To bring together representatives from Research Performing Organisations to facilitate the exchange of ideas and policy practices among participants
- » To discuss ways of improving existing or developing new Open Access policies that are aligned with the European Commission's Recommendation to EU Member States and the Horizon 2020 Open Access policy requirements

The North West Europe Workshop: Participants

- » **Austria:** University of Vienna
- » **Belgium:** University of Liège, University of Gent, University of Namur, Belgium Ministry of Science, Academy of Research and Higher Education, Fund for Scientific Research (FNRS)
- » **France:** University of Strasbourg
- » **Germany:** Helmholtz Association, National Library of Economics
- » **Ireland:** Trinity College Dublin
- » **Luxembourg:** University of Luxembourg
- » **UK:** University of Kent
- » **Funders:** European Commission
- » **Organisations:** European University Association, SPARC Europe, EIFL, LIBER, EKT, Jisc



The North West Europe Workshop: Agenda



- » The PASTEUR4OA project
- » PASTEUR4OA's research on policy effectiveness
- » The European Commission's Horizon 2020 Open Access policy
- » The European University Association Roadmap on Open Access
- » Case studies on Open Access policies: University of Liege, Ireland and UK*
- » Work group session: policy effectiveness, alignment and monitoring
- » Panel session discussion: Open Access in France, Germany and Luxembourg

* Institutional case studies on Open Access: <http://www.pasteur4oa.eu/resources?qt-resources=3#qt-resources>

* National case studies on Open Access: <http://www.pasteur4oa.eu/resources?qt-resources=3#qt-resources>

» Key Issues:

- » Policy development
 - Policy numbers are still low in some North West countries, e.g. Germany, France
- » Policy implementation
 - Academics and researchers are still considerably unaware about Open Access
 - Challenges related to implementation of divergent institutional, funder and national policies
 - Lack of financial and/or human resources to support the effective implementation of Open Access policies

» Ways forward:

- » Policy development
 - Continue engagement with policymakers to promote development of Open Access policies
- » Policy implementation
 - Institutions and funders need to raise researchers awareness about Open Access
 - Need to promote alignment of Open Access policies and to highlight its benefits
 - Assess what resources are available to implement Open Access in the most effective way

» Key Issues:

- » Policy implementation
 - Challenges in observing compliance with requirements such as date of deposit, licences, embargo periods
 - Difficulty in finding resources to cover open access publishing costs (i.e. APCs)
- » Monitoring and reporting
 - Lack of infrastructure to monitor compliance in a systematic and effective way
 - Challenges in monitoring compliance with distinct Open Access policies

» Ways forward:

- » Policy implementation
 - Work with policymakers in exploring ways to address challenges and agree on common requirements
 - Promote the deposit research outputs in repositories and make them open access after a designated embargo period (Green OA)
- » Monitoring and reporting
 - Explore what infrastructure are needed at the institutional and/or national level to assist in monitoring compliance
 - Encourage policy alignment as a means to monitor compliance both with institutional and funders policies

» Key Issues:

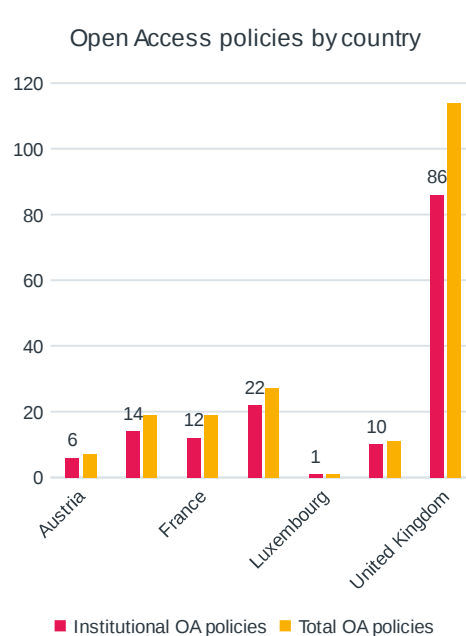
- » Monitoring and reporting
 - Challenges in getting publishers to assist authors and institutions in complying with Open Access policies requirements (both institutional and funders policies)
- » Evaluation, peer-review and metrics
 - Concerns about the current evaluation system, the transparency of the peer-review system, and the reliability of bibliometric data

» Ways forward:

- » Monitoring and reporting
 - Engage institutions and funders in systematic discussions with publishers to discuss what is needed to implement policies in an effective manner
- » Evaluation, peer-review and metrics
 - Institutions and funders need to implement policies that support the use alternative methods to evaluate academic performance
 - Need to raise researchers awareness about and incentive their use of alternative metrics

The North West Europe Workshop: Main Conclusions

- » In most cases, infrastructure is already in place to support the deposit of research outputs...
- » ...but further work is needed to promote Open Access policy development, effectiveness and alignment...
- » ...from a broader perspective, there is a need to 'change the publication, review and evaluation' systems



Source: <http://roarmap.eprints.org/> and <http://roarmap.eprints.org/dataviz.html>

Thank you for listening.

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