Outcomes and achievements of the PASTEUR4OA project

Victoria Tsoukala, PhD | National Documentation Centre/NHRF & Alma Swan, EOS

Green Light For Open Access, Amsterdam, 17/5/2016
The aim

To stimulate and support open access policies across Europe, which are aligned to H2020
Project outputs

1. Development of the Knowledge Net
2. Targeted evidence-based advocacy resources
3. Rebuilding ROARMAP
4. Research on policies and policy effectiveness
5. Tools to support advocacy activities
6. Workshops for funders and RPOs across Europe
7. Policymaker engagement and support across Europe
Project achievements
1. The Knowledge Net!

» Selecting Key Nodes and establishing a modus operandi!

» Provided structured means for systematic policy support and policymaker engagement across Europe

» Enabled expertise to flourish and to develop further on policy issues

» Enabled continuous flow of information on national policy developments

» Enabled improved understanding of different approaches to open access across Europe

» Important discussion about continuing this work in the context of OpenAIRE
2. Targeted evidence-based advocacy resources

- Policy guidelines for RFOs and RPOs
- Institutional case studies
- National case studies
- Funder case studies
- Briefing papers (monitoring, impact assessment, practical info on policy development, APCs, among others)
- Evidence-based studies on designing effective policies
- Funder and RPO Toolkits

[http://pasteur4oa.eu/resources](http://pasteur4oa.eu/resources)
3. Rebuilding ROARMAP

» The tool where policies are registered
» Important for information on policies and for research
» New classification system devised
» Existing policies verified
» Significant number of policies added to ROARMAP
4. Research on policies and policy effectiveness

» ROARMAP rebuild

» 122 mandatory policies (institutions)

» Repository content measured

» Regression analysis carried out using policy criteria
  ✓ Must deposit
  ✓ Cannot waive deposit
  ✓ Research evaluation
  › Must make deposit Open Access
  › Cannot waive making item Open Access
  › Where rights are retained, this cannot be waived
5. Tools to support advocacy activities

» Visualizations from ROARMAP on policy numbers
  › http://pasteur4oa-dataviz.okfn.org

» Visualizations from ROARMAP on policy alignment
  › http://roarmap.eprints.org/dataviz.html

» OAH2020 Check tool for individual policies (data from ROARMAP)
  › http://oa-check.nexacenter.org
Mostly institutions (over 550)

http://pasteur4oa-dataviz.okfn.org/worldwide.html
Mandatory or voluntary policies?

425 (59%)
Policies require Gold OA publishing or deposit of item

300 (41%)
Policies do not fully mandate - only recommend or other approach

Increasing number of RPOs and RFOs mandate open access policies

Green Light For Open Access, Amsterdam, 17/5/2016
Registered policies and alignment

Average alignment to H2020 by country

Alignment to H2020 by individual policy

Number of Policies currently registered

Policymaker Type
- Funder
- Funder_and_research_org
- Multiple_research_org
- Research_org
- Research_org_institutional

Percentage Individual policy alignment with H2020

10%
100%

http://roarmap.eprints.org/dataviz.html

Green Light For Open Access, Amsterdam, 17/5/2016
## Individual policy compliance checker

<table>
<thead>
<tr>
<th>Policy Item</th>
<th>Compliance</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. deposit of item</td>
<td>true</td>
</tr>
<tr>
<td>3. locus of deposit</td>
<td>true</td>
</tr>
<tr>
<td>4. date of deposit</td>
<td>false</td>
</tr>
<tr>
<td>5. mandate content types</td>
<td>true</td>
</tr>
<tr>
<td>6. journal article version</td>
<td>false</td>
</tr>
<tr>
<td>7. can deposit be waived</td>
<td>true</td>
</tr>
<tr>
<td>8. making deposit open</td>
<td>true</td>
</tr>
<tr>
<td>9. wave open access</td>
<td>false</td>
</tr>
<tr>
<td>10. date made open</td>
<td>false</td>
</tr>
<tr>
<td>15. embargo sci tech med</td>
<td>false</td>
</tr>
<tr>
<td>16. embargo hum soc</td>
<td>true</td>
</tr>
<tr>
<td>17. maximal embargo waivable</td>
<td>false</td>
</tr>
<tr>
<td>18. open licensing conditions</td>
<td>false</td>
</tr>
<tr>
<td>19. gold oa options</td>
<td>true</td>
</tr>
</tbody>
</table>
6. Workshops for funders and RPOs across Europe

- Diversity of approaches and concerns across Europe
- Number of OA policies on the rise, interest rapidly growing, need for more policies, especially with RPOs
- There is a need for better ways and metrics for measuring and assessing research
- Interest in Open Data, policies to secure access to data necessary
- Some countries moving to change or create laws to facilitate Open Access to scientific information where currently the legal framework acts as a barrier
- Alignment of policies to H2020 positively perceived, although not always achievable
- Positive regard of the Knowledge Net and hopes for future activities
- Advocacy materials much appreciated
7. Policymaker engagement and support across Europe

- Direct or indirect (via the Key Nodes) policymaker engagement in many European countries (of different kinds, levels and intensity)

- Direct project assistance and expertise with policy development for numerous countries and organizations

- Developing and/or maintaining national open access working groups

- Informing NPRs of developments
Contact…

Victoria Tsoukala

tsoukala@ekt.gr
www.pasteur4oa.eu
Twitter: @pasteur4oa
https://www.facebook.com/pasteur4oa