How Open is Your Research?

This questionnaire is designed to enable institutions to assess: a) the openness of their research and teaching; b) the level of awareness of openness in their researchers; and c) the level of awareness and use by researchers of institutional support. It covers the whole process of undertaking and disseminating scholarly and scientific research, and teaching, including for instance awareness of and adherence to policies and strategies.

Scoring is either yes/no/don’t know or on a scale of 0 to 4, where 0 signifies no compliance or implementation and 4 signifies full compliance or implementation.

1. About yourself
   Would you class yourself as an early career researcher? tick box
   a mid-career researcher? tick box
   a late career researcher? tick box
   Is your discipline Scientific/Technical/Medical? tick box
   Humanities/Social Sciences? tick box

2. Policies and strategies on Open Access and Open Data
   Are you aware of supra-national policies (e.g. the European Horizon 2020 Open Access policy)?
     For Open Access Yes/No
     For Open Data Yes/No
   Do you normally comply with supra-national policies (e.g. Horizon 2020)?
     For Open Access Yes/No/Don’t know
     For Open Data Yes/No/Don’t know
   Are you aware of national policies (e.g. your national research funder’s policy)?
     For Open Access Yes/No
     For Open Data Yes/No
   Do you normally comply with these national policies?
     For Open Access Yes/No/Don’t know
     For Open Data Yes/No/Don’t know
   Are you aware of your institution’s policies?
     For Open Access Yes/No
     For Open Data Yes/No
   Do you normally comply with your institution’s policies?
     For Open Access Yes/No/Don’t know
     For Open Data Yes/No/Don’t know

3. Open Access (OA) publishing
   (Give percentages on the scale 0=0; 1=1-25%; 2=25-50%; 3=50-75%;4=75-100%)
   How many research outputs (articles, monographs, conference proceedings etc.) have you published in the last 10 years? 0-5
4. **Institutional support for OA publishing - awareness**

Are you aware of your institution’s support for Open Access?

- Repository/self-archiving Yes/No
- Copyright/licensing advice Yes/No
- Advice on funders’ requirements Yes/No
- Advice on publishers Yes/No
- Advice on article processing costs Yes/No
- Funds for article processing costs Yes/No
- Web pages and links Yes/No
- Helpline Yes/No
- Presentations, briefing sessions etc. Yes/No
- Events (e.g. Open Access Week) Yes/No
- Email lists Yes/No
- One-to-one advice Yes/No
- Newsletters Yes/No
- Blogs Yes/No
- Social media (e.g. Twitter) Yes/No
- VLE courses Yes/No

5. **Institutional support for OA publishing - use**

Have you used your institution’s support for Open Access?

- Repository/self-archiving Yes/No
- Copyright/licensing advice Yes/No
- Advice on funders’ requirements Yes/No
- Advice on publishers Yes/No
- Advice on article processing costs Yes/No
- Funds for article processing costs Yes/No
- Web pages and links Yes/No
- Helpline Yes/No
- Presentations, briefing sessions etc. Yes/No
- Events (e.g. Open Access Week) Yes/No
- Email lists Yes/No
- One-to-one advice Yes/No
- Newsletters Yes/No
- Blogs Yes/No
- Social media (e.g. Twitter) Yes/No
- VLE courses Yes/No
6. **Open Data**

*(Give percentages on the scale 0=0; 1=1-25%; 2=25-50%; 3=50-75%;4=75-100%)*

Of your research outputs published in the last 10 years, what percentage make the underlying non-sensitive data open access?

- 0
- 1
- 2
- 3
- 4

Do you intend to make the underlying non-sensitive data of your next publication open access?

- Yes
- No

Do you have Data Management Plan(s) for research programme(s)

- Yes
- No

Do you provide information to aid manipulation/re-use of datasets

- Yes
- No

Do you provide tools for manipulation of research datasets

- Yes
- No

Do you provide open source tools for manipulation of datasets

- Yes
- No

7. **Institutional support for Open Data - awareness**

Are you aware of your institution’s support for Open Data (e.g. repository, advice)?

- Repository
  - Yes
  - No
- Advice on Data Management Planning (DMP)
  - Yes
  - No
- Advice on data storage and backup
  - Yes
  - No
- Advice on data organisation
  - Yes
  - No
- Advice on data selection
  - Yes
  - No
- Advice on data security
  - Yes
  - No
- Advice on data sharing
  - Yes
  - No
- Advice on documentation
  - Yes
  - No
- Advice on Data Protection/ethics
  - Yes
  - No
- Advice on copyright/licensing
  - Yes
  - No
- Advice on funders’ requirements
  - Yes
  - No
- Web pages and links
  - Yes
  - No
- Helpline
  - Yes
  - No
- Presentations, workshops etc.
  - Yes
  - No
- Events (e.g. Open Access Week)
  - Yes
  - No
- Email lists
  - Yes
  - No
- One-to-one advice
  - Yes
  - No
- Newsletters
  - Yes
  - No
- Blogs
  - Yes
  - No
- Social media (e.g. Twitter)
  - Yes
  - No
- VLE courses
  - Yes
  - No

8. **Institutional support for Open Data - use**

Have you used your institution’s support for Open Data?

- Repository
  - Yes
  - No
- Advice on Data Management Planning (DMP)
  - Yes
  - No
- Advice on data storage and backup
  - Yes
  - No
- Advice on data organisation
  - Yes
  - No
- Advice on data selection
  - Yes
  - No
- Advice on data security
  - Yes
  - No
- Advice on data sharing
  - Yes
  - No
- Advice on documentation
  - Yes
  - No
- Advice on Data Protection/ethics
  - Yes
  - No
- Advice on copyright/licensing
  - Yes
  - No
Advice on funders’ requirements  Yes/No
Web pages and links  Yes/No
Helpline  Yes/No
Presentations, workshops etc.  Yes/No
Events (e.g. Open Access Week)  Yes/No
Email lists  Yes/No
One-to-one advice  Yes/No
Newsletters  Yes/No
Blogs  Yes/No
Social media (e.g. Twitter)  Yes/No
VLE courses  Yes/No

9. Open Educational Resources (OER)
Have you made available any of your teaching materials?
Lecture notes/slides  Yes/No
Videos  Yes/No
Images  Yes/No
Software  Yes/No
Tests  Yes/No
Quizzes  Yes/No
Games  Yes/No
Simulations  Yes/No
Articles you have written  Yes/No
Textbooks you have written  Yes/No
Full courses  Yes/No
Other  Yes/No

10. Open Science in general
Do you make your research notes (laboratory note books etc.) Open Access?  Yes/No
Do you use open source software?  Yes/No
If you develop software, do you use open standards?  Yes/No
Are you aware of open licences (such as the Creative Commons licences)?  Yes/No
Have you made your successful funding applications Open Access?  Yes/No
Do you have an ORCID identifier?  Yes/No

11. Scholarly communication
(Give percentages on the scale 0=0; 1=1-25%; 2=25-50%; 3=50-75%; 4=75-100%)
If you serve on any editorial boards, what percentage of the journals are:
Open Access?  0 1 2 3 4
‘Hybrid’ Open Access (subscription journals that also include individual openly accessible papers in return for a publishing fee)?  0 1 2 3 4
Subscription journals?  0 1 2 3 4
If you undertake peer review, what percentage of that peer review is open?  0 1 2 3 4

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